

New CODs for Athene AccumulatorSM

We've recently split the Certificates of Disclosures (CODs) for Athene Accumulator into two versions:

- **Contracts with a Legacy Rider** (updated using current form numbers)
- **Contracts without a Legacy Rider** (updated with new form numbers)

The revised CODs (Ver. 05/23) will be available on [Athene Connect](#) beginning **May 5, 2023**, and should be used immediately. Applications with outdated or missing forms received after market close (**3 p.m. CT**) on **May 28, 2023**, will be considered "not in good order." **Be sure to use up-to-date materials when offering Athene annuities.**

Post Issue Processing now accepting e-signatures

We are now accepting electronically signed documents for post-issue transactions that use an e-signature software provider. While not required, we recommend submitting the certificate of completion that validates the signer's identity.

Please note: Signatures created via a font in Adobe or Word will not be accepted. Forms related to claims processing will continue to require a wet signature.

Disaster relief tax/IRA contribution extensions

For taxpayers who are eligible for IRS tax filing extensions due to disaster relief designated by the Federal Emergency Management Agency, a letter of instruction must be submitted when submitting a 2022 IRA contribution after April 18, 2023.¹ If the letter does not state that the client is eligible for the extension due to disaster relief, Athene will treat all IRA contributions received after April 18, 2023 as 2023 contributions.²

To find out more about tax relief for taxpayers affected by disaster situations, visit the IRS website: <https://www.irs.gov/newsroom/tax-relief-in-disaster-situations>.

¹ For SEP IRAs, the tax filing deadline is October 15, 2023.

² Athene will treat all SEP IRA contributions received through October 15, 2023 as 2022 contributions unless noted otherwise on the check.

Athene Connect Corner

Athene's producer portal, [Athene Connect](#), is your one-stop shop for information and resources to grow and manage your Athene business. Check out these recent and coming enhancements.

Coming May 5 -

Additional file types accepted through Document Upload

Beginning April 24, you can upload the following files via the Document Upload Tool: pdf, .doc, .docx, .xls, .xlsx, .jpg, .jpeg, .png, .tif, and .tiff

Not an Athene Connect user? [Sign up today!](#)

For tips on making the most of your Connect experience, download our [Athene Connect Guide \(23034\)](#) which includes helpful step-by-step [video tutorials](#).

Disclosure of Adverse Actions

As an Athene producer you are required to disclose to our Compliance Department any adverse action taken against you within five days of the occurrence, regardless of whether it is related to your relationship with Athene. [Read on](#) for examples of adverse actions and how to report them.

[View full update](#)

Stay alert with Athene's Financial Crime Programs

Our goal is to provide valuable information on an ongoing basis that can help in your efforts to prevent, detect and combat financial crime. These valuable tips and resources can help you understand your obligations.

Anti-Fraud: While investing in precious metals may be appropriate for certain individuals, experts recommend that customers do so conservatively. [Take a look](#) at some of the potential risks and how Athene's SIU handles such requests.

Anti-Money Laundering (AML): As a financial professional, you're responsible for helping identify and deter money laundering activities. [Discover](#) the five acceptable forms of payment for an Athene annuity application.

We're here to help! If you suspect a financial crime, please contact us for assistance:

- Call [888-266-8489](tel:888-266-8489) or
- Send the [Request for Compliance Review Form \(16751\)](#) to Athene at AMLFraudReferral@Athene.com

Thank you for your business. At Athene, we're driven to outperform so your clients can retire confidently. For product and sales support, please contact the best Sales Desk in the business at [888-ANNUITY \(266-8489\)](tel:888-ANNUITY).